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| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND. CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|-------------|--------------|
| 09/917,294 | 07/26/2001 | 2654 | 435 | C1104/7075 | 2 | 12 | 2 |

CONFIRMATION NO. 8108

37462
LOWRIE, LANDO & ANASTASI
RIVERFRONT OFFICE
ONE MAIN STREET, ELEVENTH FLOOR
CAMBRIDGE, MA 02142

CORRECTED FILING RECEIPT



OC000000011560035

Date Mailed: 12/23/2003

RECEIVED**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

JAN 05 2004

Domestic Continuity and Foreign Priority

Technology Center 2600

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☒ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.

___ The application(s) to which priority is claimed were filed over a year prior to the filing date of

☐ this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority.

☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

Customer Service Center
Office of Initial Patent Examination
(703) 308-1202



**LOWRIE LANDO
& ANASTASI LLP**
Dedicated to Intellectual Property Law

Date

December 17, 2003

Number of pages (including cover): 12

F A X C O V E R

To PTO Office of Initial Patent Examination

Company: United States Patent and Trademark Office

Serial No.: 09/917,294

Filed: July 26, 2001

Title: LIGHTING CONTROL USING SPEECH RECOGNITION

Conf. No.: 8108

Fax: 703-746-9195

From Joseph Teja, Jr.

Direct dial 617-395-7017

Our File # C1104/7075

ORIGINAL DOCUMENTS SENT: ☐ 1st Class Mail ☐ Overnight Mail ☐ Air Mail ☒ Not Sent**CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of Office of Initial Patent Examination, at FAX number 703-746-9195 at the United States Patent and Trademark Office on December 17, 2003.


Jeanne W. Chub

Transmitted herewith are the following documents

- [X] Second Request For Corrected Filing Receipt Based on Supplemental Amendment
- [X] Copy of Updated Filing Receipt Mailed January 7, 2002
- [X] Copy of Supplemental Preliminary Amendment Filed December 17, 2003

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ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 05 2004

Technology Center 2600

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Conf. No: 8108
Filed: July 26, 2001

For: LIGHTING CONTROL USING SPEECH RECOGNITION
Examiner: Unassigned
Art Unit: 2632

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of the Office of Initial Patent Examination, FAX number 703-746-9195, at the United States Patent and Trademark Office on the 11th day of December, 2003.


Jeanne W. Chub

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith are the following documents:

- ☒ Second Request For Corrected Filing Receipt Based on Supplemental Amendment
- ☒ Copy of Updated Filing Receipt Mailed January 7, 2002

If the enclosed papers are considered incomplete, the mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

No check is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,

Kevin J. Dowling, et al.

By: 

Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
One Main Street, Suite 1100
Cambridge, Massachusetts 02142
Telephone: 617-395-7000

December 17, 2003

ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Conf. No: 8108
Filed: July 26, 2001

For: LIGHTING CONTROL USING SPEECH RECOGNITION
Examiner: Unassigned
Art Unit: 2632

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of the Office of Initial Patent Examination, FAX number 703-746-9195, at the United States Patent and Trademark Office on the 17th day of December, 2003.


Jeanne W. Chub

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith are the following documents:

- ☒ Second Request For Corrected Filing Receipt Based on Supplemental Amendment
- ☒ Copy of Updated Filing Receipt Mailed January 7, 2002

If the enclosed papers are considered incomplete, the mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

No check is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,

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Joseph Teja, Jr., Reg. No. 45,157
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One Main Street, Suite 1100
Cambridge, Massachusetts 02142
Telephone: 617-395-7000

December 17, 2003

ATTORNEY'S DOCKET NO: C01104/70075

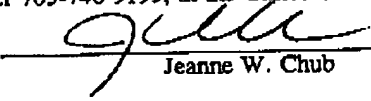
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Conf. No: 8108
Filed: July 26, 2001

For: LIGHTING CONTROL USING SPEECH RECOGNITION
Examiner: Unassigned
Art Unit: 2632

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. 81.8(a)

The undersigned hereby certifies that this document is being transmitted via facsimile to the attention of the Office of Initial Patent Examination, FAX number 703-746-9195, at the United States Patent and Trademark Office on the 17 day of December, 2003.


Jeanne W. Chub

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SECOND REQUEST FOR CORRECTED FILING RECEIPT BASED ON
SUPPLEMENTAL AMENDMENT**

Sir/Madam:

Attached is a copy of an Updated Filing Receipt mailed January 7, 2002. Issuance of a corrected Filing Receipt is respectfully requested because the Priority Data is incorrect. A Supplemental Preliminary Amendment filed on December 17, 2003 (a copy of which is enclosed) amended the Priority Data.

The Priority Data as claimed by Applicants should read as follows:

This Patent Application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application Serial No. 60/221,363, filed July 27, 2000.

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of co-pending U.S. Non-provisional Application Serial No. 09/669,121, filed

734362.1735006.1

Serial No. 09/917,294

- 2 -

Art Unit: 2632

September 25, 2000, which is a continuation of U.S. Serial No. 09/425,770, filed October 22, 1999, now Patent No. 6,150,774, which is a continuation of U.S. Serial No. 08/920,156, filed August 26, 1997, now Patent No. 6,016,038.

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of the following co-pending U.S. Non-provisional Applications:

Serial No. 09/215,624, filed December 17, 1998, which claims the benefit of the following provisional applications:

Serial No. 60/071,281, filed December 17, 1997;

Serial No. 60/068,792, filed December 24, 1997;

Serial No. 60/078,861, filed March 20, 1998;

Serial No. 60/079,285, filed March 25, 1998; and

Serial No. 60/090,920, filed June 26, 1998;

Serial No. 09/213,607, filed December 17, 1998;

Serial No. 09/213,189, filed December 17, 1998;

Serial No. 09/213,581, filed December 17, 1998;

Serial No. 09/213,540, filed December 17, 1998;

Serial No. 09/333,739, filed June 15, 1999;

Serial No. 09/742,017, filed December 20, 2000, which is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496;

Serial No. 09/815,418, filed March 22, 2001, which also is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496; and

Serial No. 09/626,905, filed July 27, 2000, which is a continuation of U.S. Serial No. 09/213,659, filed December 17, 1998, now Patent No. 6,211,626.

Please forward a corrected filing receipt to us at your earliest convenience. No additional fee or certification is believed to be required. However, if for any reason a fee paid is inadequate, or credit is owed for any excess fee paid, the Commissioner is hereby authorized and requested to charge or credit our Deposit Account No. 50/2762.

Serial No. 09/917,294

- 3 -

Art Unit: 2632

Should any questions arise concerning the foregoing, please contact the undersigned at the telephone number listed below.

Respectfully submitted,

Kevin J. Dowling, et al.

By: 

Joseph Teja, Jr.; Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
One Main Street
Cambridge, Massachusetts 02142
United States of America
Telephone: 617-395-7000
Facsimile: 617-395-7070

Dated: December 17, 2003



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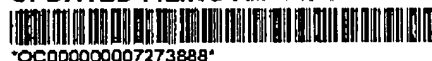
 # COMMISSIONER FOR PATENTS
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FILE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|------------|------------------|----------|------------|------------|
| 09/917,294 | 07/26/2001 | 2632 | 435 | C1104/7075 | 2 | 12 | 2 |

CONFIRMATION NO. 8108 ✓

 23628
 WOLF GREENFIELD & SACKS, PC
 FEDERAL RESERVE PLAZA
 600 ATLANTIC AVENUE
 BOSTON, MA 02210-2211

✓ UPDATED FILING RECEIPT



OC000000007273888

Date Mailed: 01/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Kevin J. Dowling, Westford, MA;
 George G. Mueller, Boston, MA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/221,363 07/27/2000

Foreign Applications

If Required, Foreign Filing License Granted 09/05/2001

Projected Publication Date: 04/18/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Lighting control using speech recognition

Preliminary Class

340

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|------------------|-------------------------------------|----------|
| File Folder | <input checked="" type="checkbox"/> | Initials |
| ECB | <input checked="" type="checkbox"/> | |
| Docket Entry | <input checked="" type="checkbox"/> | |
| Docket Cross Off | <input checked="" type="checkbox"/> | |
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| Confirmation | <input type="checkbox"/> | |

 7-2602 (2) RFG
 10-602-afe
 2-7-02-4/a
 4-18-02-1

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JAN 14 2002

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

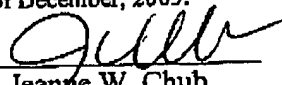
Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Conf. No: 8108
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION

Examiner: Unassigned
Art Unit: 2632

COPY

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Central Fax Number 1-703-872-9306, on the 17 day of December, 2003.


Jeanne W. Chub

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL PRELIMINARY AMENDMENT

Sir/Madam:

Supplemental to the Preliminary Amendment filed November 23, 2001 in the above-identified application, and prior to examination on the merits, please enter the following amendments:

Serial No. 09/917,294

- 2 -

Art Unit: 2632

IN THE SPECIFICATION

Please amend the paragraph beginning on line 2 of page 2 as follows:

COPY-- Related Applications

This Patent Application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application Serial No. 60/221,363, filed July 27, 2000, entitled "Lighting Control Using Speech Recognition."

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of co-pending U.S. Non-provisional Application Serial No. 09/669,121, filed September 25, 2000, entitled "Multicolored LED Lighting Method and Apparatus", which is a continuation of U.S. Serial No. 09/425,770, filed October 22, 1999, now Patent No. 6,150,774, which is a continuation of U.S. Serial No. 08/920,156, filed August 26, 1997, now Patent No. 6,016,038.

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of the following co-pending U.S. Non-provisional Applications:

Serial No. 09/215,624, filed December 17, 1998, entitled "Smart Light Bulb", which claims the benefit of the following provisional applications:

Serial No. 60/071,281, filed December 17, 1997, entitled "Digitally Controlled Light Emitting Diodes Systems and Methods";

Serial No. 60/068,792, filed December 24, 1997, entitled "Multi-Color Intelligent Lighting";

Serial No. 60/078,861, filed March 20, 1998, entitled "Digital Lighting Systems";

Serial No. 60/079,285, filed March 25, 1998, entitled "System and Method for Controlled Illumination"; and

Serial No. 60/090,920, filed June 26, 1998, entitled "Methods for Software Driven Generation of Multiple Simultaneous High Speed Pulse Width Modulated Signals";

Serial No. 09/213,607, filed December 17, 1998, entitled "Systems and Methods for Sensor-Responsive Illumination";

Serial No. 09/213,189, filed December 17, 1998, entitled "Precision Illumination";

Serial No. 09/213,581, filed December 17, 1998, entitled "Kinetic Illumination";

Serial No. 09/213,540, filed December 17, 1998, entitled "Data Delivery Track";

734362.1734362.1

- 3 -

Art Unit: 2632

Serial No. 09/917,294

Serial No. 09/333,739, filed June 15, 1999, entitled "Diffuse Illumination Systems and Methods";

Serial No. 09/742,017, filed December 20, 2000, entitled "Lighting Entertainment System", which is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496;

Serial No. 09/815,418, filed March 22, 2001, entitled "Lighting Entertainment System", which also is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496; and

Serial No. 09/626,905, filed July 27, 2000, entitled "Lighting Components", which is a continuation of U.S. Serial No. 09/213,659, filed December 17, 1998, now Patent No. 6,211,626.

~~This application also claims the benefit under 35 U.S.C. §120 of each of the following U.S. Provisional Applications, as at least one of the above identified co-pending U.S. Non-provisional Applications similarly is entitled to the benefit of at least one of the following Provisional Applications:~~

~~Serial No. 60/071,281, filed December 17, 1997, entitled "Digitally Controlled Light Emitting Diodes Systems and Methods";~~

~~Serial No. 60/068,792, filed December 24, 1997, entitled "Multi Color Intelligent Lighting";~~

~~Serial No. 60/078,861, filed March 20, 1998, entitled "Digital Lighting Systems";~~

~~Serial No. 60/079,285, filed March 25, 1998, entitled "System and Method for Controlled Illumination"; and~~

~~Serial No. 60/090,920, filed June 26, 1998, entitled "Methods for Software Driven Generation of Multiple Simultaneous High Speed Pulse Width Modulated Signals".~~

Each of the foregoing applications is hereby incorporated herein by reference. --

COPY

Art Unit: 2632

Serial No. 09/917,294

- 4 -

REMARKS

In this Supplemental Preliminary Amendment, the priority claim has been amended to clarify the relationship between the various provisional and non-provisional applications included in the priority claim. No applications have been added to, or deleted from, the referenced applications to which a benefit is claimed.

Favorable consideration is requested.

Respectfully submitted,

Kevin J. Dowling, et al.

By: 

Joseph Teja, Jr., Reg. No. 45,157
DOWRIE, LANDO & ANASTASI, LLP
One Main Street
Cambridge, Massachusetts 02142
United States of America
Telephone: 617-395-7000
Facsimile: 617-395-7070

Docket No. C01104/70075
Dated: December 17, 2003